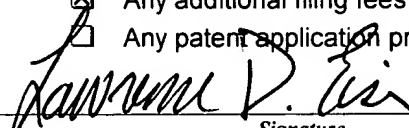
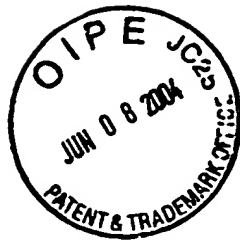


<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>			<b>Docket No.</b> PRI-102	
Applicant(s): SANKARAN ET AL.				
<b>Serial No.</b> 09/501,154	<b>Filing Date</b> February 9, 2000	<b>Examiner</b> NGUYEN, Nga B.		<b>Group Art Unit</b> 3628
Invention: METHOD AND SYSTEM FOR INTERACTIVE INITIAL OFFERING OF MULTI-CLASS FINANCIAL INSTRUMENTS				
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>				
Transmitted herewith is an amendment in the above-identified application.				
<input type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.				
<input type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.				
The fee has been calculated and is transmitted as shown below.				
<b>CLAIMS AS AMENDED</b>				
	<b>CLAIMS REMAINING AFTER AMENDMENT</b>	<b>HIGHEST # PREV. PAID FOR</b>	<b>NUMBER EXTRA CLAIMS PRESENT</b>	<b>RATE</b>
TOTAL CLAIMS	29 -	29 =	0 x	\$9.00
INDEP. CLAIMS	7 -	7 =	0 x	\$42.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>				<b>\$0.00</b>
<b>RECEIVED</b> JUN 1 5 2004 <b>GROUP 3600</b>				
<input checked="" type="checkbox"/> No additional fee is required for amendment.				
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed.				
<input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.				
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1390 A duplicate copy of this sheet is enclosed.				
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.				
<input type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.				
 _____ Signature			Dated: June 8, 2004	
Lawrence D. Eisen Registration No. 41,009 SHAW PITTMAN LLP 1650 Tysons Boulevard McLean, VA 22102			<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p>_____ Signature of Person Mailing Correspondence</p><p>_____ Typed or Printed Name of Person Mailing Correspondence</p></div>	
Customer No. 28970				
CC:				

PRI-102



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

SANKARAN ET AL.

Serial No.: 09/501,154

Filed: February 9, 2000

For: METHOD AND SYSTEM FOR  
INTERACTIVE INITIAL  
OFFERING OF MULTI-CLASS  
FINANCIAL INSTRUMENTS

Art Unit: 3628

Examiner: NGUYEN, NGA B.

AMENDMENT

Box: Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

JUN 15 2004

**GROUP 3600**

Sir:

In response to the Office Action mailed on March 8, 2004, please amend the above-identified application as follows:

No extension of time or other fees are believed to be due, except as detailed in the attached documents. However, any extension of time necessary to prevent abandonment is hereby requested, and any fee necessary for consideration of this response is hereby authorized to be charged to Deposit Account Number 50-1390.

**Amendments to the Specification:** None.

**Amendments to the Claims:** reflected in the listing of claims that begins on page 3 of this paper.

**Amendments to the Drawings:** None.

Serial No.: 09/501,154  
Art Unit: 3628

Attorney's Docket No.: PRI-102  
Page 2

**Remarks:** begin on page 14 of this paper.